

**F. No. AERA/20010/MYTP/DIAL/CP-II/2013-14/Vol – I/
Airports Economic Regulatory Authority of India


**AERA Building.
Administrative Complex,
Safdarjung Airport,
New Delhi-110003.**

Dated the 24th February, 2015

Public Notice No. 17/2014-15

**Subject: - Consultation Paper No. 16/2014-15 dated 29th January, 2015
–FIA’s request for documents referred to in the Consultation Paper -
Reg.**

Attention of all concerned is invited to Consultation Paper No. 16/2014-15 issued by the Authority on 28.01.2015, in the matter of Determination of Aeronautical Tariffs in respect of Indira Gandhi International Airport, Delhi for the Second Control Period (01.04.2014 – 31.03.2019). Federation of Indian Airlines (FIA) has vide letter dated 13 February, 2015 requested details of certain documents referred to in the Consultation Paper No. 16/2014-15 dated 28th January, 2015. While most of the documents are available alongwith the Consultation Paper and on the Authority’s website, certain information has been redacted based on the request made by DIAL. Authority’s response to the request made by Federation of Indian Airlines (FIA) is appended below for the information of all concerned.


**(C.V. Deepak)
OSD-II
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CP No. 16 of 2014-15: Determination of Aeronautical Tariffs in respect of Indira Gandhi International Airport, Delhi for the Second Control Period (01.04.2014 – 31.03.2019)- Authority's response to FIA's Letter No. nil dated 18.02.2015 seeking details of the Annexures to the subject Consultation Paper

S.No	Details of the documents to be provided by AERA	Date	Cited at /Reference in the Consultation Paper	AERA's response
1.	DIAL's submissions/clarification	11.11.2013	Para 7.14 at Page No. 79, Para 8.1 at Page No. 84, Para 10.1 at Page No. 97, Para 11.1 at Page No. 124, Para 12.2 at Page No. 126, Para 12.5 at Page No. 128, Para 12.12.7 at Page No. 132, Para 15 :- at Page No. 152, Para 16.1 at Page No. 160, Para 17.18 at Page No. 219, Para 17.35 at Page No. 224, Para 17.61 at Page No. 233, Para 17.66 at Page No. 235, Para 18.1 at Page No. 243, Para 18.2 at Page No. 243, Para 19.1 at Page No. 247, Para 19.7 at Page No. 274, Para 20.1 at Page No. 289, Para 20.3 at Page No. 292, Para 20.4 at Page No. 295, Para 20.23 at Page No. 303, Para 22.2 at Page No. 316 and Para 25.1 at Page No. 325 of the Consultation Paper.	11.11.2013 submission may please be read as submission dated 10.11.2013.
2.	DIAL's submissions/clarification pertaining to Proposal for assisting the Authority for determination of tariff in respect of Delhi International Airport limited for second control period.	16.01.2014	Para 1.14' at Page No.8 of the Consultation Paper and marked as Annexure 2 to the Consultation Paper.	Is not relevant to the Consultation Proposals, hence not uploaded.

3.	DIAL's submissions/clarification pertaining to the clarifications on the financial model.	14.03.2014	Page No. 349 of the Consultation Paper and marked as Annexure 4 of the Consultation Paper.	Is not relevant to the Consultation Proposals, hence not uploaded
4.	DIAL's submissions/clarification.:	15.04.2014	Para 12.10 at Page No. 130 of the Consultation Paper.	DIAL's letter seeking additional time for submitting clarifications and certifications. Uploaded along with this Public Notice No. 17/2014-15 dated 24.02.2015.
5.	DIAL's submissions/clarification.	20.04.2014	Page No. 8 of the Consultation Paper.	May please be read as document dated 29.04.2014.
6.	DIAL' submissions/clarification pertaining to response of DIAL for clarification and Information sought In respect of MYTP for 2 nd control period and asset allocation study.	23.06.2014	Marked as Annexure 9 at page 349 to the Consultation Paper.	Uploaded along with this Public Notice No. 17/2014-15 dated 24.02.2015.
7.	DIAL's submissions /clarification pertaining to response of DIAL for clarification and information sought In respect of MYTP for 2 nd control period and asset allocation study.	10.07.2014	Page No. 8 of the Consultation Paper and Annexure 10 at page 349 to the Consultation Paper. Part VII of the annexures published on AERA's website provides a blank document the footer of which is titled 'DIAL's second control period submissions dated 10.07.2014'.	The file has been repaired and uploaded.
8.	DIAL's submissions/clarification providing additional Information.	13.08.2014	Marked as Annexure 12 at page 349 to the Consultation Paper.	Is not relevant to the Consultation Proposal and hence not uploaded.
9.	DIAL's submissions/clarification	25.08.2014	Marked as Annexure 14 at page 349 of the Consultation Paper.	Is not relevant to the Consultation Proposal and hence not uploaded.

10.	DIAL's submissions/clarification pertaining to area and asset allocation report as on 31.03.2012.	11.12.2014	Page No. 8 of the Consultation Paper and marked as Annexure 23 at page 350 to the consultation paper.	Uploaded along with this Public Notice No. 17/2014-15 dated 24.02.2015.
11.	Ministry of Civil Aviation's letter No.AV.24032/4/2012-AD	09.03.2012	Para 6.41 at Page No. 64 of the Consultation Paper.	Already available on AERA website . Uploaded as Annexure VI to Consultation Paper No.22/2012-13 dated 11.10.2012.
12.	Leigh Fischers' report assessing allocation of assets into aeronautical and non-aeronautical components as on 31.03.2014 commissioned by DIAL	11.11.2014	Para 7.16 at Page No. 80 of the Consultation Paper.	Uploaded along with this Public Notice No. 17/2014-15 dated 24.02.2015.
13.	ECB loan facility agreement	24.07.2013	Para 12.8 at Page No. 130 of the Consultation Paper.	Redacted based on request of DIAL as information is confidential.
14.	National Institute of Public Finance and Policy (" NIPFP ") report commissioned by AERA to conduct a study to estimate the fair rate of return especially for projects under PPP mode, namely, Mumbai, Delhi, Bangalore and Hyderabad.	13.12.2011	Para 13.5 at Page No. 139 of the Consultation Paper.	Already available on AERA website . Uploaded as Annexure V to Consultation Paper No.22/2012-13 dated 11.10.2012
15.	NIPFP's report.	19.04.2012	Para 13.5 at Page No. 139 of the Consultation Paper.	Already available on AERA website. Uploaded as Annexure I to Order No.03/2012-13 dated 19.4.2012

16.	AERA's letter numbers AERA/MYTP/DIAL/2012-13/VOL-VI/4851 and AERA/20011/MYTP/DIAL/20013-14/5056 requesting for submission of stylized tariff filling of DIAL for the second control period.	10.09.2013 and 28.10.2013	Referred to in the 1 st paragraph of the cover letter to DIALJs submission dated 10.11.2014 (marked as Annexure 1. at page 349 to the Consultation Paper). The said paragraph has been extracted below for ease of reference: <i>"This is in reference to your letter No. AERA/MYTP/DIAL/2012-13/VOL-VI/4851 dated 10th September, 2013 and subsequent letter number AERA/20011/MYTP/DIAL/20013-14/5056 dated 28th October, 2013 where by the Authority has requested for submission of stylized tariff filling of DIAL for the second control period and we asked for extension till 10th November, 2013 by letter dated 15th October, 2013."</i>	The letter referred to is a communication from AERA to DIAL and not a submission by DIAL. Hence not uploaded
17.	DIAL's letter seeking extension till 10.11.2013 for submitting the stylized tariff filing.	15.10.2014	Referred to in the paragraph of the cover letter to DIAL's submission dated 10.11.2014 (marked as Annexure 1 at page 349 to the Consultation Paper). The said paragraph has been extracted below for ease of reference: <i>"This Is in reference to your letter No, AERA/MYTP/DIAL/2012-13/VOL-VI/4851 dated 10th September, 2013 and subsequent letter number AERA/20011/MYTP/DIAL/20013-14/5056 dated 28th October, 2013 where by the Authority has requested for submission of stylized tariff filling of DIAL for the Second control period and we asked for extension till 10th November, 2013 by letter dated 15th October, 2013."</i>	Not a MYTP/ATP submission by the operator. Hence not uploaded
18.	Repayment Schedule of Rupee Term Loan and ECB.	N.A.	Marked as Annexure H at page 135 of Annexure 1 of the Consultation Paper. Annexure H at page 222 is redacted.	Redacted based on request of DIAL as information is confidential

19.	List of long term contracts of House Keeping	N.A.	Marked as Annexure J at page 135 of Annexure 1 of the Consultation Paper. Annexure J at page 405 is redacted.	Redacted based on request of DIAL as information is confidential
20.	List of long term contracts of Repair and Maintenance.	N.A.	Marked as Annexure K at page 135 of Annexure 1 of the Consultation Paper. Annexure K at page 410 is redacted.	Redacted based on request of DIAL as information is confidential
21.	IT JV Contracted Subsistence Level.	N.A.	Marked as Annexure L at page 135 of Annexure 1 of the Consultation Paper. Annexure L at page 418 is redacted.	Redacted based on request of DIAL as information is confidential
22.	Auditor's certificate on Return on RAB	N.A.	Marked as Annexure Q at page 135 of Annexure 1 of the Consultation Paper. Annexure Q at page 451 is redacted.	Redacted based on request of DIAL as information is confidential
23.	Auditor's certificate on True up of Electricity.	N.A.	Marked as Annexure R at page 135 of Annexure 1 of the Consultation Paper. Annexure R at page 452 is redacted.	Redacted based on request of DIAL as information is confidential
24.	Auditor's certificate on shortfall in UDF for HY 2013.	N.A.	Marked as Annexure T at page 135 of Annexure 1 of the Consultation Paper. Annexure R at page 456 is redacted.	Redacted based on request of DIAL as information is confidential
25.	a. Auditor Certificate for UDF Revenue. (marked as Annexure I on page 4 of DIAL's submissions dated 14.04.2014) b. Auditor Certificate for Forex Capitalization. (marked as Annexure VIII on page 37 of DIAL's submissions dated 14.04.2014) c. Auditor Certificate for ATM and MToW for FY 2012-13. (marked as Annexure XIII	N.A.	Referred to In DIAL's submission dated 14.04.2014 (marked as Annexure 6 at page 349 to the Consultation Paper).	Redacted based on request of DIAL as information is confidential

	<p>on page 1 of DIAL's submissions dated 14.04.2014)</p> <p>d. Auditor Certificate for ATM and MToW for 01.04.2012 to 14th May 2012. (marked as Annexure XIV on page 1 of DIAL's submissions dated 14.04.2014)</p> <p>e. Auditor certificate for ATM and MToW for 15.05.2012 to 31.03. 2013. (marked as Annexure XV on page 1 and 2 of DIAL's submissions dated 14.04.2014)</p> <p>f Auditor Certificate for Revenue Break Up. (marked as Annexure XVI on page 4 and 38 of DIAE:s submissions dated 14.04.2014)</p> <p>g Auditor Certificate for ATM charged at Fixed Rate. (marked as Annexure XVII on page 3 of DIAL's submissions dated 14.04.2014)</p> <p>h Auditor Certificate for Cargo Revenue and Expense during 1st Control period. (marked as Annexure XXX on page 39 of DIAL's submissions dated 14.04.2014)</p> <p>i Auditor Certificate for Depreciation of FY2009-10 to FY</p>			
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	<p>2013-14(H1).</p> <p>(marked as Annexure XXXI on"</p> <p>page 39 of DIAI/s submissions</p> <p>dated 14.04.2014)</p> <p>j. Auditor Certificate for Asset</p>			
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	allocation. (marked as Annexure XXXV on page 131 16 and 20 of DIAL's submissions dated 14.04.2014)"			
	k. Auditor Certificate for			

	<p>Depreciation and Amortization.</p> <p>(marked as Annexure XXXVI on</p> <p>page 17 of DIAL's submissions</p> <p>dated 14.04.2014)</p> <p>I. Auditor Certificate for Bank</p> <p>Debt.</p>			
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	<p>(marked as Annexure XXXVII on</p> <p>page 37 of DIAL's submissions</p> <p>dated 14.04.2014)</p> <p>m. Auditor Certificate for</p> <p>Manpower details.</p>			
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	<p>(marked as Annexure XXXVII</p> <p>on page 13 of DIALs</p> <p>submissions dated 14.04.2014)</p> <p>n. Auditor Certificate for Property</p> <p>tax payment.</p>			
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	(marked Annexure XLI on page 39 of DIAL/s submissions dated 14.04.2014)	as		
26.	AERA's letter numbers AERA/20010/MYTP/DIAL/CP-II/2013-14/5529 and AERA/20010/MYTP/DIAL/CP-II/2013/5577 seeking various clarification and certification with regards to the filling for the second control period	14.03.2014 and 28.03.2014	Referred to in the 1st paragraph of the cover letter to DIAL's submission dated 15.05.2014 (marked as Annexure 8 at page 349 to the Consultation Paper). The said paragraph has been extracted below for ease of reference: "This is with reference to your letter numbers AERA/20010/MYTP/DIAL/CP-II/2013-14/5529 dated 14th March, 2014 and AERA/20010/MYTP/DIAL/CP-II/2013-14/5577 dated 28th March, 2014 for providing various clarification and certification with regards to filing for second control period."	Is not relevant to the Consultation Proposal and hence not uploaded.
27.	a. Auditor Certificate for UDF Paid Passengers for FY 2013-14. (marked as Annexure 1 on page			Redacted based on request of DIAL as information is confidential.

	<p>5 of DIAL's submissions dated 23.07.2014)</p> <p>b. Auditor Certificate for ATM and</p> <p>MToW for FY 2013-</p> <p>(marked as <i>Annexure</i> on page</p> <p>6 of DIA submissions dated</p> <p>23.07.2014)</p>			
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	<p>c. Auditor Certificate for</p> <p>Department Manpower as</p> <p>on 31st March 2014.</p> <p>(marked as <i>Annexure</i> 5 on page</p> <p>10 of DIAL's submissions dated</p>	wise		
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	<p>23.07.2014)</p> <p>d. Auditor Certificate for Operating expense allocation</p> <p>for FY 2013-14.</p> <p>(marked as <i>Annexure 6</i> on page</p>			
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	11 of DIAL's submissions dated 23.07.2014) e. Auditor Certificate for Debt Outstanding as on 31st March 2014.			
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	<p>(marked as <i>Annexure 7</i> on page</p> <p>13 of DIAL's submissions dated</p> <p>23.07.2014)</p> <p>f. Auditor's Report on Projected</p> <p>Depreciation tangible assets.</p>	<p>on</p>		
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	<p>(marked as <i>Annexure 10</i> on</p> <p>page 24 of DIAL's submissions</p> <p>dated 23.07.2014)</p> <p>g. Auditor Certificate for True up</p> <p>of UDF</p> <p>Revenues during FY</p>			
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	<p>2013-14.</p> <p>(marked as <i>Annexure</i> 1.2 on</p> <p>page 33 of DIAL's submissions</p> <p>dated 23.07.2014)</p> <p>h. Auditor Certificate for Electricity consumption for Sep-13 to Mar-14 (marked as Annexure 13 on page 34 of DIAL's submissions dated 2013.07.2014)</p> <p>i. Auditor Certificate for Return on RAB based on Actual date of Capitalization. (marked as Annexure 14 on page 34 of DIAL's</p>			
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	submissions dated 23.07.2014)			
28.	AERA's letter number AERA/20010/DIAL/CP-11/201314/6419 seeking additional clarifications and information with respect to the filing for the second control period.	11.09.2014	Referred to <i>In</i> the 1st paragraph of the cover letter to DIAL's submission dated 12.09.2014 (marked as <i>Annexure</i> 1.7 at page 349 to , the Consultation Paper). The said paragraph has been extracted below for ease of reference: <i>"This Is with reference to your Letter number AERA/20010/DIAL/CP-II/2013-14 dated 11th September, 2014 for providing clarification and Information sought In respect of MYTP submissions made by DIAL for the 2nd Control Period (FY 2015 2019) In respect of IGI Airport, New Delhi."</i>	Is not relevant to the Consultation Proposal and hence not uploaded.
29.	AERA's letter numbers AERA/20010/D/A L/CP-11/201314/6429 and AERA/20010/DIAL/CP-11/2013-14/6444 seeking additional clarifications and information with respect to the filing for the second control period.	15.09.2014 and 19.09.2014	Referred to in the 1st paragraph of the cover letter to DIAL's submission dated 13.10.2014 (marked as <i>Annexure</i> 1.9 at page 350 to the Consultation Paper). The same is extracted below for ease of reference: <i>"This Is with reference to your letter numbers AERA/20010/DIAL/CP-II/2013-14/6419 DATED 11th September, 2014, AERA/20010/DIAL/CP-11/2013-14/6429 dated 19th September, 2014 and AERA/20010/DIAL/CP-11/2013-14/6444 dated 19th September, 2014, and our letter numbers DIAL/201415/Fin-Acc dated</i>	Is not relevant to the Consultation Proposal and hence not uploaded.

			<i>tz" September, 2014 and DIAL/2014-15/Fin-Acc/5464 dated 2dh September, 2014, for providing clarification and certification sought In respect of MYTP submissions for the. II control period."</i>	
30.	<p>a. Auditor Certificate for Into Plane and Fuel Throughput Revenues (marked as Annexure 1 on page 4 of DIAL's submissions dated 13.10.2014)</p> <p>b. Auditor certificate for VRS Payments. (marked as Annexure 2 on page 6 of DIAL's submissions dated 13.10.2014)</p> <p>c. Auditor certificate for Rupee Term Loan and External. Commercial Borrowing Payments. (marked as Annexure 3 on page 7 of DIAL's submissions dated 13.10.2014)</p> <p>d. Copies of CPD agreements (12 Agreements) (marked as Annexure 6 on page 16 of DIAL's submissions dated 13.10.2014)</p> <p>e. Auditor Certificate for CPD ~ Income during 1st Control. Period. (marked as Annexure 7 on page 16 of DIAL's</p>	N.A.	Referred to in DIAL's submission dated 13.10.2014 (marked as Annexure 1.9 at page 350 to the Consultation Paper.	Redacted based on request of DIAL as information is confidential

<p>submissions dated 13.10.2014)</p> <p>f. Auditor certificate for CPO Despots and Expenses as on 31st Mar 2014. (marked as Annexure 8 on page 16 of DIAL's submissions dated 13.10.2014)</p> <p>g. Auditor Certificate for CPO Revenue from Existing Agreements during 2nd Control Period. (marked as Annexure 9 on page 17 of DIAL's submissions dated 13.10.2014)</p> <p>h. Auditor Report for Depreciation expense based on resolved by DIAL Board. (marked as Annexure 11 on page 18 of DIAL's submissions dated 13.10.2014)</p> <p>i. Auditor Certificate on Revenue as per OMDA for Revenue Share calculation. (marked as Annexure 12 on page 20 of DIAL's submissions dated 13.10.2014)</p> <p>j. Auditor Certificate for Interest Income. (marked as Annexure 13 on page 28 of DIAL's submissions dated 13.10.2014)</p> <p>k. Copy of the presentation on</p>			
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	<p>Future (apex submitted to Authority on 4th March 2014. (marked as Annexure 16 on page 34 of DIAL's submissions dated 13.10.2014)</p> <p>l. Auditor ' Certificate for Rupee term loan and ECB Repayment. (marked as Annexure" 22 on page 50 of DIAL's submissions dated 13.10.2014)</p> <p>m. List of assets capitalized other than Project Cost for FY 201112, FV 2012-13 and FY 2013-14. (marked as Annexure 24 on page 53 of DIAL's submissions dated 13.10.2014)</p>			
31.	<p>AERA's e-mail seeking additional clarifications and Information with respect to the filing for the second control Period.</p>	31.10.2014	<p>Referred to in DIAL's submission dated 21.11.2014 (marked as Annexure 21 at page 350 to the Consultation Paper).</p>	<p>Is not relevant to the Consultation Proposal and hence not uploaded.</p>